

## lowercase CAPITAL, llc.

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If you have any questions about the contents of this document, please contact us at (214) 717-4866. The information in this document has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Additional information about Lowercase Capital, LLC. is also available on the SEC's website at

[www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Code of Ethics

Lowercase Capital, LLC is a small financial services company that aims to provide services to our clients of the highest quality and integrity. Client relationships require trust and the actions of our members and employees must always be taken with consideration for our clients.

## Protection of Material Nonpublic Information

We will in the course of our regular business use material nonpublic information. Chief among information of this type are recommendations to clients, client account details, and transaction history. This information must only be used for a legitimate business purpose and must be adequately protected such that unauthorized access is not reasonably possible.

## Personal Securities Trading

Lowercase Capital, LLC is a single member company. Anthony Nagle Jr is both the President and the Chief Compliance Officer. This constraint means that Anthony must monitor his own personal trading to ensure that no prohibited, illegal, or unethical behavior occurs.

Anthony shall openly answer any questions related to his current holdings from any client or perspective client.

It is not expected for Lowercase Capital, LLC to come into contact with inside information with any regular frequency. When this occurs an email will be sent to involved parties detailing the trading restrictions and duration of restriction that must be imposed.

## Code Violations

Lowercase Capital, LLC requires compliance with this code from every member, manager and employee. Violations must be reported within 2 business days of their discovery to Anthony Nagle Jr via email to [Anthony@lowercasecapital.biz](mailto:Anthony@lowercasecapital.biz) or by physical letter.

## Receipt of the Code

Each member, manager, and employee is required to provide written acknowledgement of their receipt and reading of the Code of Ethics.

## Recordkeeping

A copy of the Code of Ethics, records of violations of the code, and records of receipt of the code will be retained in a compliance folder.