

lowercase CAPITAL, LLC

Equity Income Directional Strategy



Strategy Description

Equities in this strategy are identified primarily based on their ability to pay dividends. These companies will often have a large dividend, good cash flow, and relative value. Investment decisions are made based on a 3 step process of quantitative scoring, qualitative filtering, and quantitative filtering.



Calculation Details

Brokerage Fee = 0.2% annually or \$100, whichever is greater.
Advisory Fee = 1.0% annually for accounts up to \$250000.
Fee is negotiable.
Advisory and Brokerage Fees billed quarterly.



Additional Information

Potential clients are strongly advised to review our firm brochure and policies. For more information please visit our website www.lowercasecapital.biz or email info@lowercasecapital.biz. Past performance is no guarantee of future results. Investing involves risk, including possible loss of principal.



Lowercase Capital, LLC

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MATERIAL FACTS

- The benchmark for this model is SPDR Portfolio S&P 500 Income ETF (SPYD)
- Results reflect reinvestment of dividends greater than \$1
- Up to 10% of the model may be comprised of leveraged, inverse, and inverse leveraged ETFs used for directional positioning
- Strategy performance represents actual time weighted returns with starting values used for illustrative purposes
- Data is shown with advisory and brokerage fees subtracted after the last day of each quarter
- SPY volatility (beta) is 1
- SPYD volatility (beta) is 1.07
- Strategy volatility (beta) is actively managed with a target of 0.2 to 1.2
- Strategy employs active management and intentional sizing of net positioning, a material difference when comparing against passive indices such as SPY and SPYD